



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Matter of the Application of: Jean-Luc Ricaud
Serial No.: 10/049,453
Filed: July 30, 2002
For: Improved Oxide Cathode And Its Manufacturing Process
Examiner: Karabi Guharay Group Art Unit: 2879
Docket No.: PF000057

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on Jan 27, 2004



Lisa M. Hipple

**INFORMATION DISCLOSURE
STATEMENT TRANSMITTAL LETTER**

Sir:

An Information Disclosure Statement is submitted herewith pursuant to 37 CFR §§1.97-1.98. Please note the following particulars:

[NOTE: One only of items a, b, c, and d must be checked.]

- [] a. The enclosed statement is being filed within three months of the filing date of a national application, or within three months of the date of entry into the national stage as set forth in 37 CFR §1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.
- [X] b. The enclosed statement is being filed after a first Office Action on the merits but before the mailing date of a final Office Action under 37 CFR §1.113, or a notice of allowance under 37 CFR §1.311.

The enclosed statement is accompanied by [check one]:

☐ i. a certification in part (e) below as specified in 37 CFR §1.97(e);

or

☒ ii. permission is hereby given to the Commissioner to charge Deposit Account No. 01-6832 in the amount of \$180 required by 37 CFR §1.17(p).

☐ c. The enclosed statement is being filed after the mailing date of a final action under 37 CFR §1.113, or a notice of allowance under 37 CFR §1.311, but before payment of the issue fee.

PETITION: It is requested that the information being submitted be considered.

[**Note:** If box (c) is checked, both of the following boxes must be checked.]

☐ **PETITION FEE:** Permission is hereby given to the Commissioner to charge Deposit Account No. 501581 in the amount of \$180.00 as required by 37 CFR §1.17(p).

☐ **CERTIFICATION** is attended to in box (e) below.

☐ d. The enclosed statement is being filed pursuant to 37 CFR §1.97(i), for placement in the file.

☐ e. **Certification**[Check one] [Certification is required only if box (b)(i) or box (c) has been checked.]

☐ I hereby certify that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement;

or

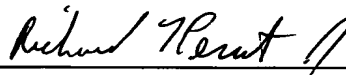
☐ I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no such item of information was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement;

or

☐ Other appropriate certification is attached.

[] f. If a fee is due in connection with this communication the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. If (f) is checked, a duplicate of this sheet is enclosed.

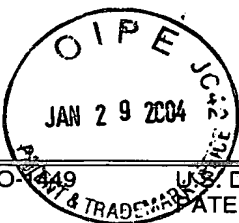
Respectfully submitted,
Jean-Luc Ricaud



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Date: 26 Jan. 2004

Patent Operation
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Princeton, NJ 08543-5312



FORM PTO-449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Complete if Known			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number		10/049,453			
		Filing Date		July 30, 2003			
		First Named Inventor		Jean-Luc Ricaud			
		Group Art Unit		2879			
		Examiner Name		Karabi Guharay			
Sheet	1	of	1	Attorney Docket Number		PF000057	

U. S. PATENT DOCUMENTS							
Examiner Initials		Document Number	Date	Name	Class	Sub-Class	Filing Date
	AA	4,273,683	6/16/1981	Kawamura			
	AB	4,310,777	1/12/1982	Fukushima, et al.			
	AC	4,797,593	1/10/1989	Saito, et al.			
	AD	4,924,137	5/8/1990	Watanabe, et al.			
	AE	5,519,280	5/21/1996	Shon, et al.			
	AF	5,592,043	1/7/1997	Gärtner, et al.			
	AG	5,925,976	7/20/1999	Hayashida, et al.			
	AH	5,977,699	11/2/1999	Joo, et al.			
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
	AO						
	AP						
	AQ						
	AR						

FOREIGN PATENT DOCUMENTS							
Examiner Initials		Document Number	Date	Country	Class	Sub-Class	TRNS Y/N
	AS						
	AT						
	AU						
	AV						
	AW						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
	AX	
	AY	
	AZ	

Examiner Signature		Date Considered	
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.